

Data Protection Information for the Compliance Whistleblower System

If you are professionally associated with us, we offer you the opportunity to report suspected compliance violations or breaches of the law. You can also use this system to notify us of any human rights or environmental risks, or potential violations of human rights or environmental obligations along our supply chains.

This notice explains how we process the personal data that you provide when using our whistleblowing system (Compliance Whistleblower System).

Controller and Data Protection Officer

The controller within the meaning of Article 4(7) GDPR is:

KAESER KOMPRESSOREN SE
Carl-Kaeser-Str. 26
96450 Coburg
Germany

KAESER KOMPRESSOREN SE operates the Compliance Whistleblower System jointly with KAESER KOMPRESSOREN Ausbildung GmbH, KAESER KOMPRESSOREN Dienstleistung GmbH and KAESER KOMPRESSOREN Logistik GmbH. In accordance with Article 26 of the GDPR, these companies are joint controllers with shared responsibility under data protection law.

We have agreed how we will safeguard your rights and have set out the responsibilities of each party for meeting the requirements of the GDPR. You may exercise your data subject rights by contacting any of the KAESER companies involved.

If you have any questions or requests relating to data protection, you are welcome to contact our Data Protection Officer:

KAESER KOMPRESSOREN SE
– Data Protection Officer –
Carl-Kaeser-Straße 26
96450 Coburg
Germany
E-Mail: datenschutz@kaeser.com

Purpose of Data Processing

The purpose of processing your personal data is to fulfil legal obligations regarding the provision of reporting channels and the handling of misconduct reports, as well as any potential follow-up actions related to compliance or legal violations. Submission of a report is voluntary. Further information regarding the Whistleblower Protection Act (HinSchG) and the Supply Chain Due Diligence Act (LkSG) can be found in the relevant legal provisions.

Data Collection

The following personal data may be collected and/or processed as part of the whistleblower systems:

- Contact details (name, titles, job title)
- Information relating to the reported matter (especially violations under the Whistleblower Protection Act or Supply Chain Due Diligence Act)
- Where applicable, communication and identification data (e.g. telephone number, E-mail address, postal address)

Processing of Your Data

Within KAESER, your data will be processed by the responsible business unit (internal reporting office) within the Global Compliance Department. All information is treated with strict confidentiality. If necessary for case handling, we may forward information to the appropriate departments, external legal advisers, or public authorities. When investigating reports, we also take into account the legitimate interests of any individuals affected by a report.

The digital reporting channel is operated by our service provider osapiens Services GmbH (Julius-Hatry-Str. 1, 68163 Mannheim, Germany), with whom we have concluded a data processing agreement in accordance with Article 28 of the GDPR. The service provider acts strictly in accordance with instructions.

We have also concluded data processing agreements for the telephone hotline (voicemail) operated by Ring Central GmbH Germany and for the E-mail reporting channel operated via Google LLC, which additionally holds a European Commission-approved certification (Data Privacy Framework).

Legal Basis for Processing

- Article 6(1)(c) GDPR in conjunction with Sections 12 et seq. of the Whistleblower Protection Act (HinSchG) and Section 8 of the Supply Chain Due Diligence Act (LkSG)
- Where applicable, Article 6(1)(a) GDPR on the basis of your consent. You may withdraw your consent at any time with effect for the future.
- Where applicable, Article 6(1)(f) GDPR for the purposes of pursuing a legitimate interest in investigating the matter and taking any necessary follow-up measures. The legitimate interest lies in ensuring company-wide compliance with legal requirements.

Your Rights as a Data Subject

Under the GDPR, you have the right to access, correct, erase, and restrict the processing of your data.

You may lodge a complaint with the Bavarian Data Protection Authority at:

Promenade 27, 91522 Ansbach, Germany

Retention Period

Data relating to your report will be stored for up to three years after the conclusion of the procedure (under the Whistleblower Protection Act) or up to seven years (under the Supply Chain Due Diligence Act). After the applicable retention period, data will be deleted, provided they are no longer required and/or there is no overriding legitimate interest or statutory retention obligation.

Data Security

We implement technical and organisational security measures to protect the data we manage from manipulation, loss, destruction, or unauthorised access.

Thank you for your cooperation and for placing your trust in our work.

